

*Polygraphs*

REQUEST FOR INFORMATION RELATIVE TO  
POLYGRAPH AND PRE-PUBLICATION REVIEW POLICIES

Introduction:

In order to evaluate the potential impact of polygraph and pre-publication review policies, certain information is being requested from agencies.

Because the information must be obtained quickly, this questionnaire has been designed to collect the data.

Throughout this questionnaire, we are talking about the number of people, for that reason, the number of positions should not be converted to full-time equivalents.

When an estimate is given for any answer, please precede the estimated number with the letter "E."

Unless otherwise specified, the requested information should be as of December 31, 1983.

Please be specific when indicating whether an answer is classified.

If any information will not be obtainable within the specified timeframe, please send that information which is available and indicate a date by which we may expect the remainder.

If you have any questions, please call Mr. Jim Reid, FTS 275-5352 or Mr. Irv Boker, FTS 275-3973. The completed questionnaire should be sent to:

Mr. Irv Boker, Room 4100  
U. S. General Accounting Office  
Washington, D.C. 20548

QUESTIONNAIRE

1. Approximately how many people were employed by your agency as of December 31, 1983? (The total should include both full- and part-time employees. If the exact number is not available, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

\_\_\_\_\_ Employees

2. On December 31, 1983, approximately how many of your people and how many employees of your contractors had access to classified information? Please count the individuals based on their highest level of clearance. If the exact number is not known, please give your agency's best estimate. (Enter the number on each line. If none, enter "0").

<u>Highest Level of Clearance</u>	<u>Agency Employees</u>	<u>Contractor Employees</u>
TOP SECRET	_____	_____
SECRET	_____	_____
CONFIDENTIAL	_____	_____

3. On December 31, 1983, approximately how many employees of your agency and its contractors had sensitive compartmented information (SCI) access? If the exact number is not known, give your agency's best estimate. (Enter the number on each line. If none, enter "0").

\_\_\_\_\_ Agency employees with SCI access

\_\_\_\_\_ Contractor Employees with SCI access

4. Do you consider SCI to be a special access program? Please cite the authority.
5. Does your agency have "special access" programs for access to information as authorized under Sec. 4.2(a) of Executive Order 12356, or any other similar access programs under other authority?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

6. How many SCI and non-SCI special access programs did your agency have at the close of calendar years 1979-1983, and what was the authority for them? How many billets were authorized for these programs and how many agency and contractor employees were granted special access authorizations for them for each of those years? (If the exact number is not available, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

	1983		1982		1981	
	<u>SCI</u>	<u>Non-SCI</u>	<u>SCI</u>	<u>Non-SCI</u>	<u>SCI</u>	<u>Non-SCI</u>
Programs	_____	_____	_____	_____	_____	_____
Billets	_____	_____	_____	_____	_____	_____
Agency employees	_____	_____	_____	_____	_____	_____
Contractor employees	_____	_____	_____	_____	_____	_____

	1980		1979	
	<u>SCI</u>	<u>Non-SCI</u>	<u>SCI</u>	<u>Non-SCI</u>
Programs	_____	_____	_____	_____
Billets	_____	_____	_____	_____
Agency employees	_____	_____	_____	_____
Contractor employees	_____	_____	_____	_____

Authorities

EO 12356

Others (please specify) \_\_\_\_\_

7. Did your agency employ polygraph operators during calendar year 1983?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

*FBI*

8. As of December 31, 1983, how many polygraph operators were employed by your agency? How many contracts for polygraph examinations did you have?

\_\_\_\_\_ Agency employees

\_\_\_\_\_ Contractors

*FBI*

9. Do you have plans to employ any additional polygraph operators or contract out for services? If so, why and how many?

\_\_\_\_\_ Agency employees

\_\_\_\_\_ Contractors

*FBI*

10. As of December 31, 1983, how many polygraph machines, if any, did your agency possess? How many were procured during calendar year 1983? Do you have plans to procure any additional polygraph machines, and if so, how many? *FBI*
11. Approximately how many polygraph exams were conducted of your employees of applicants for employment by, or for, your agency? Please list by the indicated categories for each of the listed calendar years. If they were conducted by another agency or contractor, so indicate. *DC*

	<u>1983</u>	<u>1982</u>	<u>1981</u>	<u>1980</u>	<u>1979</u>
<u>Criminal or Specific incident investigations</u>					
Conducted by agency	_____	_____	_____	_____	_____
Conducted by other agencies or contractors (list)	_____	_____	_____	_____	_____
<u>Pre-employment screening</u>					
Conducted by agency	_____	_____	_____	_____	_____
Conducted by other agencies or contractors (list)	_____	_____	_____	_____	_____
<u>Pre-access screening</u>					
Conducted by agency	_____	_____	_____	_____	_____
Conducted by other agencies or contractors (list)	_____	_____	_____	_____	_____
<u>Subsequent screening</u>					
Conducted by agency	_____	_____	_____	_____	_____
Conducted by other agencies or contractors (list)	_____	_____	_____	_____	_____
<u>Other (explain)</u>					
Conducted by agency	_____	_____	_____	_____	_____
Conducted by other agencies or contractors (list)	_____	_____	_____	_____	_____
<u>Total (Do not double count)</u>	_____	_____	_____	_____	_____

12. Under what authority, regulations and rules are your polygraph examinations conducted? Please list citations and attach copies where applicable. Also, indicate any plans to revise these governing regulations proposed at this time. Please describe the changes and attach copies of these proposals, if available. In particular, describe which employees, and indicate how many, would be potentially covered under each type of polygraph examination (specific incident investigation, screening or other uses (described) and the projected number of exams to be given in each category.

13. Please briefly describe the qualifications required of individuals employed as polygraph operators by your agency. The attachment of a job description which contains this information will be sufficient. If there is no change from last year's questionnaire answer, simply so indicate.
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14. Does your agency require its employees to submit to any pre-publication review procedure (other than to review official statements on behalf of the agency)?

\_\_\_\_\_ Yes

\_\_\_\_\_ No (Please skip to Question 20)

15. Please describe these pre-publication review procedures. How long have they been used by your agency? Cite and attach any applicable regulations and/or forms used for their implementation and indicate the authority for those agency programs.
16. Please describe which, and indicate how many, of your employees are covered by your pre-publication review procedures by each separate program.
17. Approximately how many books, articles, speeches, and other materials, by category, were reviewed during your agency's pre-publication review process (described in Question 14) for each calendar year of its operation. If used prior to calendar year 1979, please indicate date this operation began. If the number is not known, please give your agency's best estimate. Please enter the number on each line. (If none, enter "0").

	1983	1982	1981	1980	1979
Books	_____	_____	_____	_____	_____
Articles	_____	_____	_____	_____	_____
Speeches	_____	_____	_____	_____	_____
Other (please specify)	_____	_____	_____	_____	_____

18. Please estimate the average number of working days that elapse from the date of receipt of a request for pre-publication review of each type of document below, to the date the requestor is informed of the final results. If you have not had experience in reviewing a type of document, enter "NA" on the applicable line. (Enter estimated average number of working days on each line). If your answer has not changed since last year's questionnaire, simply so indicate.

Estimated Average Working Days

\_\_\_\_\_ Books  
 \_\_\_\_\_ Articles  
 \_\_\_\_\_ Speeches  
 \_\_\_\_\_ Other (please specify)  
 \_\_\_\_\_  
 \_\_\_\_\_

19. During calendar year 1983, approximately how many employees were assigned, and working days were used for pre-publication review as described in Question 14? (If none, enter "0").

Estimated number of  
employees assigned

Estimated number of  
working days used

20. Please describe your agency's implementation of paragraphs 1a., 1d., and 2 of the President's National Security Directive 84. Attach any regulations and forms used and indicate the number of employees affected.

21. During the 1983 calendar year, did your agency experience any unauthorized disclosures of classified information? (Check one).

\_\_\_\_\_ Yes

\_\_\_\_\_ No (Please skip to Question 23)

22. During the 1983 calendar year, please indicate the total number of unauthorized disclosures, the number of unauthorized disclosures made through books, articles, speeches, written or given by then-current and former employees, and the number which were not reported to the Department of Justice.

\_\_\_\_\_ Total number of known unauthorized disclosures

\_\_\_\_\_ Number not reported to Department of Justice

Number made through published writings or speeches by:

\_\_\_\_\_ a. then-current employees, or

\_\_\_\_\_ b. former employees

23. Please enter below the name, title, and telephone number of the person to be contacted if clarification or additional information is needed:

Agency: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Location: \_\_\_\_\_

Telephone number: (Area Code) \_\_\_\_\_ (Number) \_\_\_\_\_

If you have any questions, please contact either GAO staff member:

Mr. Jim Reid, FTS 275-5352 or Mr. Irv Boker, FTS 275-3973

Thank you for your time. Please return the completed questionnaire to:

Mr. Irv Boker, Room 4100  
U. S. General Accounting Office  
Washington, D.C. 20548